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## Cyclic (amino)(barrelene)carbene Ru-complexes: synthesis and reactivity in olefin metathesis

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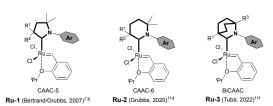
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The synthesis of ruthenium-complexes with cyclic (amino)(barrelene)carbenes (namely CABCs) as ligand is reported. Isolated in moderate to good yields, those new complexes showed an impressive thermal stability at 110 °C over several days. Good catalytic performances were demonstrated in various ring-closing metathesis (RCM), macrocyclic-RCM, ring-closing enyne metathesis (RCEYM), cross-metathesis (CM), and ring-opening cross metathesis (ROCM).

Considered as an eco-friendly process to build carbon-carbon bonds, olefin metathesis1 represents one of the most efficient synthetic tools in modern organic chemistry.2 The recent development of well-defined ruthenium complexes containing a cyclic (alkyl)(amino)carbene, namely CAAC ligand,3 led to significant breakthroughs in this field by reaching the highest TONs reported so far (up to 2,600,000 in ethenolysis<sup>4</sup> and 68,000 in RCM<sup>5</sup>). Note that this impressive productivity relies on an improved stability of the corresponding Ru-methylidene species toward the bimolecular decomposition.<sup>6</sup> While numerous catalysts bearing five-membered CAACs (called CAAC-5) have been intensively developed through the modification of the N-aryl fragment and/or the substituents of the quaternary centre (Ru-1, Figure 1, A),7 the structural variation of the heterocyclic backbone has scarcely been investigated. In 2020, Grubbs and co-workers reported a ruthenium complex containing a six-membered CAAC8 (CAAC-6; Ru-2, Figure 1, A) while Tuba and co-workers described a bicyclic CAAC **Ru-3** complex<sup>9</sup> (BiCAAC, Figure 1, A). Unfortunately, those complexes demonstrated lower catalytic performances than the original Ru-1 type catalysts. Based on these reports, we decided to examine a new class of CAAC-5 ligand, namely cyclic (amino)(barrelene)carbene or CABC, recently reported in 2022.10

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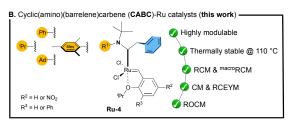


Figure 1 Structural modifications of CAACs (A) and the development of a new CAAC featuring a barrelene scaffold (B, this work).

Readily accessible through an intramolecular [4+2] cycloaddition between an alkyne and an anthracene derivative, this ligand features a barrelene skeleton, which provides a unique steric environment. Herein, we report the synthesis of related CABC-ruthenium complexes (**Ru-4**) and their catalytic performances in various olefin metathesis transformations (Figure 1, B).

We initiated our study by preparing ruthenium CABC complexes Ru-4a-d from the corresponding iminium salts of CABC(a-d)-X bearing isopropyl, adamantyl, phenyl and mesityl Nsubstituents, respectively (Scheme 1, Eq. 1). To our delight, deprotonation of  $CABC(a-d)\cdot X$ with hexamethyldisilazide (KHMDS) in toluene followed by reaction with Hoveyda-Grubbs 1st generation (HG1) over 2 to 16 hours, afforded the corresponding CABC Ru-4a-d in low to good isolated yields (26-78%). Following the same protocol, bispyridine Ru-4e was isolated in 85% yield from MesCABC(d)·PF<sub>6</sub> and **Pyr-GI** precursor (Scheme 1, Eq. 2).<sup>11</sup> Finally, the reaction between Ru-4e and styrenylether ligands L1a,b led to the corresponding nitro-Grela-12 and Blechert-type 13,14 Ru-4f and Ru-4g in 40% and 59% yield, respectively (Scheme 1, Eq. 3,4).15

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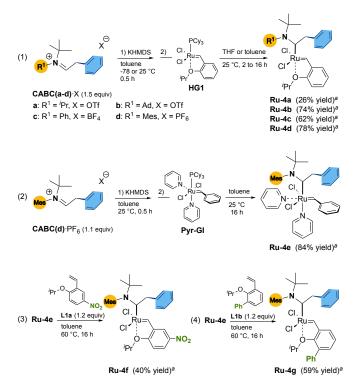
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<sup>†</sup> Electronic Supplementary Information (ESI) available: Experimental procedures NMR spectra, GC analysis. CCDC 2260084, 2253658, 2253659, 2253660, 2256504. For ESI and crystallographic data see DOI: 10.1039/x0xx00000x

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Scheme 1 Synthesis of CABC-ruthenium complexes. alsolated yield.

Suitable crystals of Ru-4a-d,f allowed to perform X-ray diffraction analyses (Figure 2). Unfortunately, all attempts to obtain suitable crystals for Ru-4e,-4g were unsuccessful. Unexpectedly, the solid-state structures showed that the barrelene fragment is above to the styrenylether moiety, in contrast with most of all the previously reported CAAC-Ru complexes, for which this is the N-aryl unit.<sup>7,16</sup> Noteworthy, relatively short intramolecular hydrogen bonding interaction were observed between barrelene CPh-H and ClRu atoms (d = 2.49-2.72 Å) across all complexes. Note that for Ru-4b these interactions are also observed in solution as shown by a significant downfield shift of the corresponding proton by <sup>1</sup>H NMR spectroscopy with respect to the corresponding iminium salt (See ESI for details).

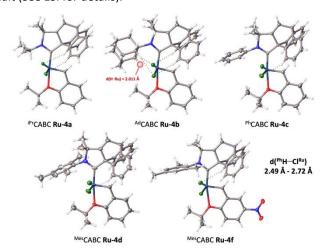


Figure 2 Solid-state structures of CABC-complexes Ru-4a-d,-f from single crystal X-ray diffraction. Displacement ellipsoids are drawn at 30% probability. Hydrogen atoms have been omitted for clarity

For the adamantyl CABC Ru-4b, additional CABC Ru-4b, additional interactions lock the chlorine atoms onto 1239 constrained configuration, which explains the unusually large Cl-Ru-Cl bond angle (4 = 154.44°) and the very short intramolecular hydrogenruthenium (2.01 Å) distance within the range of classical agostic interactions (≈1.8–2.3 Å). With respect to thermal stability, all complexes are stable to air and moisture as solids. We also studied the stability of MesCABC Ru-4d at 110 °C in aerated toluene- $d_8$  solution and observed less than 4% degradation after ten days (see ESI, Section 2.2. for further details).

With these results in hand, we next investigated the catalytic performance of all CABC Ru-4a-g complexes in the RCM of DEDAM 1a (Table 1). At 40 °C, poor reactivity was observed with CABC Ru-4a-e (1-7% yield, entries 1-5) after 18 h of reaction at 5 mol% catalyst loading. In comparison bispyridine Ru-4e led to 41% yield (entry 5), while nitro-Grela congener MesCABC Ru-4f afforded 13% yield (entry 6). In marked contrast, MesCABC-Blechert Ru-4g afforded higher reactivity with cyclopentene 2a obtained in 73% yield (entry 7). Gratifyingly at 110°C, RCM with Ru-4g was completed within 4 hours yielding 2a in 97% (entry 8) in agreement with the excellent thermal stability of this family of carbene complexes.

Table 1 Catalytic performances of CABC Ru-4a-g in RCM of DEDAM 1a.

 $EtO_2C$   $CO_2Et$ 

		(5 mol%)	
	1a	DCE (0.1 M) 40 °C, time	/ 2a
Entry	Catalyst	Time (h)	Conv.(Yield) (%) <sup>a</sup>
1	Ru-4a	18	5 (1)
2	Ru-4b	18	3 (2)
3	Ru-4c	18	7 (5)
4	Ru-4d	18	9 (7)
5	Ru-4e	18	45 (41)
6	Ru-4f	18	14 (13)
7	Ru-4g	18	75 (73)

Ru-catalyst

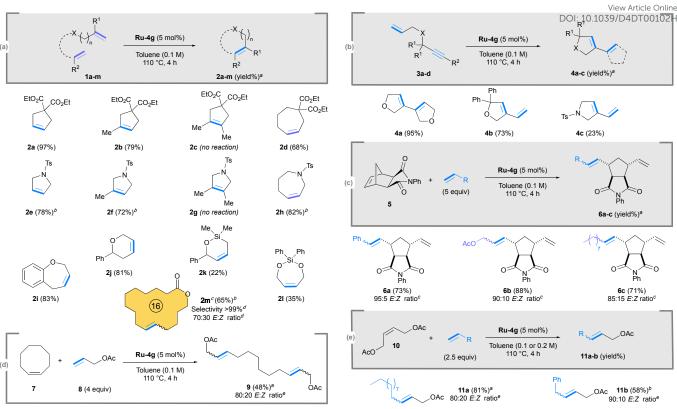
<sup>a</sup> Reaction conditions: DEDAM 1a (0.17 mmol), catalyst (0.0085 mmol), DCE (1.7 mL), argon. <sup>b</sup> Determined by <sup>1</sup>H NMR spectroscopy using 1,3,5-trimethoxybenzene as internal reference (see ESI). c Reaction performed in toluene at 110 °C.

Having identified Blechert MesCABC Ru-4g as the most efficient catalyst, we then investigated its performance in various olefin metathesis transformations under optimised conditions (5 mol%, 110 °C; Scheme 2). Di- or tri-substituted cyclopentenes 2a,b,e,f were formed in good to excellent yields (72-97%). Similar good yields (68-83%) were also observed for seven-membered cycloalkenes 2d,h,i. However, no reaction occurred for tetrasubstituted cyclopentenes 2c,g and low 22-35% yields were obtained for silane derivatives 2k,l. To our delight, Ru-4g was also efficient in macroRCM, leading to valuable odorant<sup>17</sup> 16-membered macrocycles 2m in 65% yield without any isomerised side product (>99% selectivity).18 Ringclosing envne metathesis (RCEYM) was also examined, in which Ru-4g demonstrated good activity (73-95%), excepted for cyclic diene 4c (23%; Scheme 2, b). We next focused on the ringopening cross-metathesis (ROCM) of exo-norbornene 5 and cyclooctene 7 with different cross-olefin partners.

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Scheme 2 Scope of RCM (a), RCEYM (b), ROCM (c,d) and CM (e) catalysed by Blechert MesCABC Ru-4g complex. O Yields were determined by 1H NMR spectroscopy using 1,3,5trimethoxybenzene as internal standard. <sup>b</sup> Isolated yield. <sup>c</sup> Reaction performed at 0.005 M. <sup>d</sup> Determined by GC analysis. <sup>e</sup> E/Z ratio were monitored by <sup>1</sup>H NMR.

Corresponding trans-cyclopentanes 6a,b,c were formed in good yield (71-88%; Scheme 2, c) while acyclic diene 9 was obtained in a moderate 48% yield (Scheme 2, d). Lastly, we investigated the catalytic performance of Ru-4g in cross-metathesis (CM; Scheme 2, e). The reaction between 1-dodecene and cis-1,4diacetoxy-2-butene 8 furnished the corresponding alkenes 11a in 81% yield with a 80:20 E:Z ratio. Nevertheless, a lower 58% yield and a 90:10 E:Z ratio was observed for product 11b resulting from the CM between homoallyl benzene and allyl acetate 10.

According to a broadly accepted mechanism (Figure 3), productive metathesis involves the formation of a transient unsaturated 14e Ru-methylidene synAS prone to coordinate incoming alkenes via a  $\pi$ -complex intermediate. Subsequent oxidative [2+2] cycloaddition results in the formation of a Ru(IV) ruthenacyclobutane which is able to undergo reductive elimination to reform the reactive unsaturated 14e Rumethylidene antiAS with elimination of the corresponding product. As shown by Grubbs and others, 1a,19 the configuration of the chlorine atoms around the ruthenium centre is subject to change in order to facilitate this process. Intrigued by the unexpected stability of these complexes and the need for thermal activation (110 °C) with Blechert MesCABC Ru-4g (see table 1, entry 8), we performed preliminary DFT studies (Density Functional Theory) at the B3LYP-D3 level of theory. Transient unsaturated 14e- Ru-methylidene intermediates resulting from Hoveyda-Grubbs Ru-4a-d were successfully optimized in the syn- and anti-configuration (synAS-4a-d and

respectively) of the chlorine atoms. In all cases, we found anticonfigurations to be significantly higher in energy with respect to cis AS-4a-d. This difference is more significant with adamantyl **4b** for which the *anti-*conformer lies significantly above the *syn*conformer (19.5 kcal/mol). To better understand these differences, we also considered the steric map profile of these intermediates, which points to a distorted configuration of the chlorine atoms in the anti-configuration. Together with the relatively short intramolecular hydrogen bonding interactions observed in the solid state (also more pronounced with adamantyl 4b), the unusual stability of these complexes points to a mechanical gridlock situation wherein the steric profile of the barrelene clashing with chlorine atoms impairs the metathesis step.

#### Conclusions

A set of seven new Ru-complexes containing cyclic (amino)(barrelene)carbene (CABC) ligands were synthesized in moderate to good yields and fully characterized. This novel class of complexes demonstrated a remarkable thermal stability in solution at 110 °C over 10 days. The Blechert-type MesCABC Ru-4g was the most efficient, affording good yields in various metathesis reactions (RCM, macroRCM, RCEYM, CM, ROCM). In addition, DFT calculations provided key insights about the initiation step and the propagating CABC-Ru-methylidene active species, thus explaining why thermal activation is required for this family of olefin metathesis catalysts.

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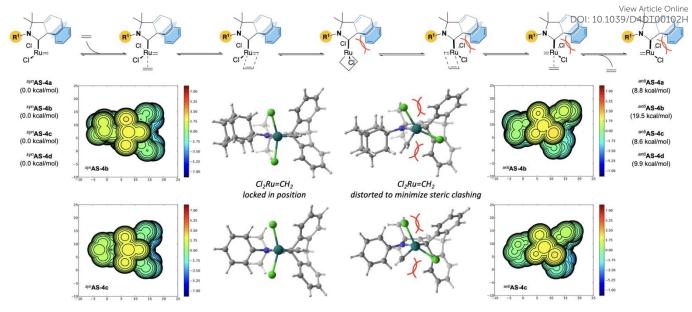


Figure 3 Preliminary DFT studies (performed at B3LYP-D3 level of theory) and steric maps highlight the importance of steric crowding during the propagation

#### Data availability

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All experimental and crystallographic data associated with this work are available in the ESI.†

#### **Author Contributions**

R. J., G. B. and Ma. M. conceptualized and supervised this work. M. R.S. prepared the CABC precursors. J. T., A. D.V., S. C.R. and J. M. developed the catalytic methodologies. Mo. M. performed the DFT studies and T. R. the X-Ray diffraction analysis. The manuscript was written by R. J. and Ma. M. and reviewed by all the authors.

#### Conflicts of interest

There are no conflicts to declare.

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